

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----x  
In re : Chapter 11 Case No.  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : 08-13555 (SCC)  
Debtors. : (Jointly Administered)  
-----x  
Ref. Docket Nos. 51141, 51257,  
51260, 51294, 51313, 51314, 51317,  
51318

## **AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On November 4, 2015, I caused to be served the “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: “LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Lauren Rodriguez

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Lauren Rodriguez

Sworn to before me this  
16<sup>th</sup> day of November, 2015

/s/ Sidney J. Garabato

Notary Public, State of New York  
No. 01GA6218946  
Qualified in New York County  
Commission Expires March 15, 20

## **EXHIBIT A**

Epiq Bankruptcy Solutions, LLC

PO BOX 4470

Beaverton, OR 97076-4470

Address Service Requested

Legal Documents Enclosed

Please direct to the attention

of the Addressee,

Legal Department or President

LBH TRFNTC (MERGE2, TXNUM2) 4000171716

To: BAR(23) MAILID \*\*\* 000099844797 \*\*\*



CANTOR FITZGERALD SECURITIES  
TRANSFEROR: RAPAX OC MASTER FUND, LTD.  
ATTN: NILS HORNING  
110 E. 59TH STREET  
NEW YORK, NY 10022



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In re

LEHMAN BROTHERS HOLDINGS INC., et al.,  
Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

RAPAX OC MASTER FUND, LTD.  
TRANSFEROR: ROYAL BANK OF SCOTLAND, PLC, THE  
C/O SERENGETI ASSET MANAGEMENT LP  
ATTN: ERIN FINEGAN  
632 BROADWAY, 12TH FLOOR  
NEW YORK, NY 10012

Please note that your claim # 59598 in the above referenced case and in the amount of \$0.00 allowed at \$839,760.82 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000099844797 \*\*\*

LBH TRFNTC (MERGE2, TXNUM2) 4000171716



CANTOR FITZGERALD SECURITIES  
TRANSFEROR: RAPAX OC MASTER FUND, LTD.  
ATTN: NILS HORNING  
110 E. 59TH STREET  
NEW YORK, NY 10022

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 51260 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFeree WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 11/04/2015

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 4, 2015.

## **EXHIBIT B**

**Claim Name****Address Information**

BETHMANN BANK AG	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, BETHMANNSTRASSE 7-9, FRANKFURT AM MAIN 60311 GERMANY
CANTOR FITZGERALD SECURITES	TRANSFEROR: RAPAX OC MASTER FUND, LTD., ATTN: NILS HORNING, 110 E. 59TH STREET, NEW YORK, NY 10022
CANTOR FITZGERALD SECURITES	TRANSFEROR: RAPAX OC MASTER FUND, LTD., ATTN: NILS HORNING, 110 E. 59TH STREET, NEW YORK, NY 10022
CANTOR FITZGERALD SECURITES	TRANSFEROR: RAPAX OC MASTER FUND, LTD., ATTN: NILS HORNING, 110 E. 59TH STREET, NEW YORK, NY 10022
CHASE LINCOLN FIRST COMMERCIAL CORP.	TRANSFEROR: STONE LION PORTFOLIO LP, ATTN: JEFFREY L. PANZO/MC: NY1-M138, 383 MADISON AVENUE, FL 37, NEW YORK, NY 10179
CHASE LINCOLN FIRST COMMERCIAL CORP.	TRANSFEROR: STONE LION PORTFOLIO L.P., ATTN: JEFFREY L. PANZO/MC: NY1-M138, 383 MADISON AVENUE, FL 37, NEW YORK, NY 10179
CHASE LINCOLN FIRST COMMERCIAL CORP.	TRANSFEROR: STONE LION PORTFOLIO LP, ATTN: JEFFREY L. PANZO/MC: NY1-M138, 383 MADISON AVENUE, FL 37, NEW YORK, NY 10179
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CREDIT SUISSE	ATTN: PAUL GILMORE, ELEVEN MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE	CRAVATH, SWAINE & MOORE LLP, ATTN: RICHARD LEVIN, WORLDWIDE PLAZA, 825 EIGHTH AVENUE, NEW YORK, NY 10019
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: RAPAX OC MASTER FUND, LTD., ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
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RAPAX OC MASTER FUND, LTD.	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., C/O SERENGETI ASSET MANAGEMENT LP - ERIN FINEGAN, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
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SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: BARCLAYS BANK PLC, C/O SERENGETI ASSET MANAGEMENT LP, ATTN: SHAKER CHOURHURY, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: BARCLAYS BANK PLC, C/O SERENGETI ASSET MANAGEMENT LP, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: SERENGETI OPPORTUNITIES PARTNERS, LP, C/O SERENGETI ASSET MANAGEMENT LP, ATTN: ERIN FINEGAN, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: BARCLAYS BANK PLC, C/O SERENGETI ASSET MANAGEMENT LP, ATTN: ERIN FINEGAN, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: SERENGETI OPPORTUNITIES PARTNERS LP, C/O SERENGETI ASSET MANAGEMENT LP, ATTN: ERIN FINEGAN, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: BARCLAYS BANK PLC, C/O SERENGETI ASSET MANAGEMENT LP, ATTN: ERIN ROGERS, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
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SERENGETI OPPORTUNITIES MM L.P.	F/K/A SERENGETI OVERSEAS MM L.P., C/O SERENGETI ASSET MANAGEMENT LP, ATTN: YI SHU, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: MERRILL, LYNCH, PIERCE, FENNER & SMITH INC., C/O SERENGETI ASSET MANAGEMENT LP, ATTN: ERIN ROGERS, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: BARCLAYS BANK PLC, C/O SERENGETI ASSET MANAGEMENT LP, ATTN: ERIN

Claim Name	Address Information
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TARGOBANK AG & CO. KGAA	TRANSFEROR: BETHMANN BANK AG, KASERNENSTRASSE 10, 40213 DUSSELDORF GERMANY
UBS AG	TRANSFEROR: CREDIT SUISSE, BAHNHOFSTRASSE 45, ZURICH CH-8001 SWITZERLAND
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: CHASE LINCOLN FIRST COMMERCIAL CORP., ATTN: EDWINA PJ STEFFER, 8500 NORMANDALE LAKE BLVD., STE 1500, MINNEAPOLIS, MN 55437
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: CHASE LINCOLN FIRST COMMERCIAL CORP., ATTN: EDWINA PJ STEFFER, 8500 NORMANDALE LAKE BLVD., STE 1500, MINNEAPOLIS, MN 55437
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Total Creditor Count 69